

Supporting Documents

Please assemble your package according to these guidelines. The maximum size of the package is 7MB (approximately 100 pages). Oversized attachments most likely will be rejected by our server. Do not include brochures, photographs or printouts of web pages.

Tab A (Table of Contents)

Tab B (Forms)

1. Completed [DS-160](#) for all applicants (to include derivatives)
2. [DS-156E](#) for essential employees and managers only; not required for dependents or for an investor him/herself.

Tab C (Applicant Information)

1. Certificates that demonstrate proof of family relationships and the marital status for each applicant (marriage certificate for spouse and/or birth certificate(s) for minor child/children)
2. The principal applicant's résumé or curriculum vitae/CV
3. A signed statement of intent to depart the U.S. upon termination of status for the principal applicant

Tab D (Cover Letter and additional documents)

1. The cover letter must describe how the enterprise qualifies for E-1 or E-2 status and must include a description of the beneficiary's specific skills and qualifications, if not addressed in the resume or CV. The letter must address all the requirements for E-1 or E-2 visa eligibility.
2. Documentation that supports the applicant's expertise and underlines his/her essential skills. This may include any relevant diplomas, job training certificates and/or letters from previous employers.
3. Include the first two pages of U.S. corporate tax returns, showing IRS reported sales for the two years immediately preceding the application and the current year's profit and loss statement.
4. Evidence that the enterprise has the present or future capacity to generate more than enough income for a minimal living for the treaty investor and his or her family. (The projected future capacity should be realized within 5 years after business start-up.)

Tab E (Ownership)

1. Proof of at least 50% treaty country nationality for the principal investor/owner
2. If the firm has several owners or subsidiaries or if the chain of ownership includes intermediary entities, please include the following (as applicable):
 - 2.1. An organization chart with names showing the full ownership structure of the entity
 - 2.2. Legal proof of ownership (such as incorporation certificate, shares certificate, excerpt from the trade registration) within a respective chain
 - 2.3. Photocopies of only the bio data page of the passports and the percentage of each unit holder of the definitive parent company
3. If the firm is publicly traded with many shareholders (none of which own more than 50%) include:
 - 3.1. A written declaration justly authorized by a corporate official stating all of the stock exchanges on which the firm is traded
 - 3.2. A copy of recently issued trading information concerning the nationality of the stock's owners
4. For an E-1 visa, if the firm is an incorporated entity outside of the US, include a chart of ownership of the enterprise and a certificate of existence/registration from the state/province in which the company is incorporated

TAB F (Proof of Investment or Trade)

Treaty Trader and Treaty Investor applicants are encouraged to provide the following documentation:

INVESTMENT (E-2):

Invoices; Cancelled checks and wire transfers, along with matching invoices; Bank statements showing matching debits; Escrow agreement, escrow account statement in the U.S., and escrow receipt (as applicable).

TRADE (E-1):

Sales invoices; Purchase invoices; U.S. Bills of lading, issued by DHS/CBP; Inventory data; Insurance papers documenting commodities imported into the U.S.

No further processing, including appointment scheduling, will occur until we have received definitive proof of investment or trade.